

Crown Capital Securities, L.P. Additional Compensation Disclosure

Mutual Fund Disclosure

Crown Capital Securities, L.P. offers many different mutual funds for our registered representatives and their clients. We believe it is important that our representatives evaluate these products and assist you in selecting those that meet your investing needs. Because there are thousands of investment choices for sale, Crown focuses on a select group of some of the largest and most well known companies that offer a broad spectrum of funds. These companies have greater access to our representatives in providing training and other educational presentations so they can serve our clients better. **Crown representatives do not receive higher compensation for selling products in the Key Account Program.**

Crown's Mutual Fund Sponsors

Crown Capital Securities Key Account Sponsors pay Crown .10 percent quarterly on Crown sales and .05 percent on assets or, a maximum of .20 percent quarterly on fund sales.

For example, firms that pay .10 percent would pay Crown \$100 on a \$100,000 sale. Crown would also receive .05 percent quarterly on Crown assets under management.

Fund firms that pay .10% on sales and .05% on assets: None at this time

Some firms choose to pay Crown a maximum of .20 percent quarterly on fund sales. For example firms that pay .20 percent would pay \$200 on a \$100,000 sale.

Fund firms that pay up to .20% on sales: None at this time

Some firms choose to pay a hard dollar cost to attend Crown educational conferences. The hard dollar amount varies from firm to firm and can be a dollar amount more or less than if their payments were based on sales or assets under management with that firm.

Fund firms that pay via hard dollar amount: HighMark Funds
John Hancock Funds
RS Funds

Variable Annuity Disclosure

As with mutual funds, Crown offers many different variable annuities for our registered representatives and their clients. Again, to assist our reps, Crown focuses on a select group of some of the largest and most well known annuity companies that offer a broad spectrum of product choices. These annuity firms have greater access to our representatives in providing training and other educational presentations so they can serve our clients better.

Crown representatives do not receive higher compensation for selling products in the Key Account program.

Crown's Annuity Sponsors

Crown Capital Securities Key Account Sponsors pay Crown .5 to .15 percent quarterly on Crown sales. For example, firms that pay .15 percent would pay Crown \$150, on a \$100,000 sale.

Annuity firms that pay up to .15% on sales: Prudential
John Hancock
Nationwide Financial
The Hartford
ING Variable Annuities

Some firms choose to pay a hard dollar cost to attend Crown educational conferences. The hard dollar amount varies from firm to firm and can be a dollar amount more or less than if their payments were based on sales or assets under management with that firm.

Annuity firms that pay a hard dollar amount: The Hartford
Nationwide Financial
Pacific Life
Met Life Investors
The Guardian
ING

Variable Life Disclosure

Variable Life companies pay Crown 5% of Target Premium for the benefit of being a Key Account.

Variable Life Key Account Sponsors: None at this time

Some firms choose to pay a hard dollar cost to attend Crown educational conferences. The hard dollar amount varies from firm to firm and can be a dollar amount more or less than if their payments were based on sales or assets under management with that firm.

Variable Life firms that pay a hard dollar amount:

Direct Participation Program Disclosure

DPP companies pay Crown Capital Securities between .5% and 2% of sales for the benefit of being a Key Account.

DPP Key Account Sponsors:

AEI Fund Management	ATEL Securities
Atlas America, Inc	Behringer Harvard Funds
Boston Capital Corporation	CNL Securities Group
Cole Capital	Cypress Capital Corporation
Dividend Capital	Grubb & Ellis
Hartman Management	ICON Capital Corporation
Inland Real Estate Trust	KBS Capital Markets
Leaf Financial Corporation	Net REIT
NGAS Resources, Inc	Noble Royalties
Petroleum Development Corp.	Resource Real Estate
Ridgewood Capital	R.K. Properties
Steben & Company	Thompson National Properties
Strategic Storage Trust	US Energy
Walton International Group	Wells Real Estate Funds
WNC & Associates	

Some firms choose to pay a hard dollar cost to attend Crown educational conferences. The hard

dollar amount varies from firm to firm and can be a dollar amount more or less than if their payments were based on sales or assets under management with that firm.

DPP firms that pay a hard dollar amount:

- Atlas America, Inc.
- Cole Capital
- Cypress Capital Corporation
- Grubb & Ellis
- Hartman
- ICON Capital Corporation
- Inland Real Estate Trust
- Hartman
- KBS Capital Markets
- Net REIT
- Noble Royalties, Inc
- Resource Real Estate
- Ridgewood Capital
- Strategic Storage Trust
- US Energy
- Walton International

Advisory Firm Disclosure

Some firms choose to pay a hard dollar cost to attend Crown educational conferences. The hard dollar amount varies from firm to firm and can be a dollar amount more or less than if their payments were based on sales or assets under management with that firm.

Advisory firms that pay a hard dollar amount:

- BTS Asset Management
- Portfolio Strategies
- ICON Advisers. Inc
- Absolute Capital

Some Advisory firms pay Crown a percentage of fees billed to clients. Fees are based and billed on assets under management. Advisory firms pay Crown between 3% and 15% on fees billed.

Advisory Key Account Sponsors:

- ICON Advisers, Inc.
- The Elements Financial Group